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Jc923 U.S. PTO

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09-15-00

A

UTILITY
PATENT APPLICATION

TRANSMITTAL

Attorney Docket No. 22146.203812
First Named Inventor HARRIS, William F.
Title SYSTEM AND METHOD FOR PROCESSING A PRODUCT PRICE
OR QUOTATION REQUEST AND PLACING A PRODUCT ORDER
VIA A COMMUNICATIONS NETWORK
Express Mail Label No. EL030720289US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Specification Total Pages 50
(preferred arrangement as set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

2. ☒ Drawing(s) (35 USC 113) Total Sheets 11
3. ☒ Oath or Declaration Total Pages 3
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR

1.63(d)) (for continuation/divisional with box 17
completed) (Note Box 4 below)

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached
deleting inventor(s) named
in the prior application, See
37 CFR 1.63(d)(2) and
1.33(b)

4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, which a copy of the oath or declaration
is supplied under Box 3b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by reference therein.

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☒ Small Entity ☐ Statement filed in prior
Statement application, Status still proper
and desired
14. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. Other:

- ☒ Check in the amount of \$ 966.00

During the pendency of this application, the Commissioner is hereby
authorized to credit overpayments or charge any additional fees under 37
CFR 1.116 and 1.117 to Deposit Account No. 16-1435

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
Prior application information: Examiner: Group/Art Unit:

17. FEE CALCULATIONS

| CLAIMS | For | Number Filed | Extra | Rate | Calculations |
|--------|---|--------------|-------|--|--------------|
| | Total Claims | 76 - 20 = | 56 x | \$ 18 | \$ 1008 |
| | Indep. Claims | 6 - 3 = | 3 x | \$ 78 | \$ 234 |
| | Multiple Dependent Claims (if applicable) | | x | \$ 260 | \$ 0 |
| | | | | Basic Fee (37 CFR 1.16) | \$ 690 |
| | | | | Total Calculations | \$ 1932 |
| | | | | Reduced by 50% for filing small entity (Note 37 CFR 1.9, 1.27, 1.28). | \$ 966 |
| | | | | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property | \$ 0 |
| | | | | TOTAL FEES SUBMITTED | \$ 966.00 |

18. CORRESPONDENCE ADDRESS

| | | | |
|-------------------|-----------------------------|--------------------------------------|----------------|
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| Name (Print/Type) | James J. Bindseil | Registration No. (Attorney/Agent) | 42,326 |
| Signature | | Date | 9/14/00 |

22146-203812

CLTLIB01:603806.1

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EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label no. : EL030720289US

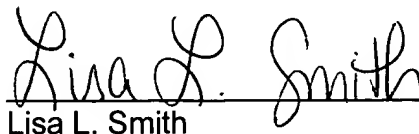
Date of Deposit : September 14, 2000

Type of Document(s) : - Utility Patent Application Transmittal
- Specification - 50 pages
- Drawings - 11 sheets
- Information Disclosure Statement - 2 pages
- PTO Form 1449 - 1 page
- 5 Cited References
- Declaration - 3 pages
- Small Entity Statement - 1 page
- Check for Filing Fee: \$966.00
: - Return Postcard

Serial No. : Unassigned

Filing Date : Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.



Lisa L. Smith

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR**

Docket Number (Optional)

21246.203812

Applicant, Patentee, or Identifier: William F. Harris
Application or Patent No.: Unassigned
Filed or Issued: Herewith
Title: SYSTEM AND METHOD FOR PROCESSING A PRODUCT PRICE OR
QUOTATION REQUEST AND PLACING A PRODUCT ORDER VIA A
COMMUNICATIONS NETWORK

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

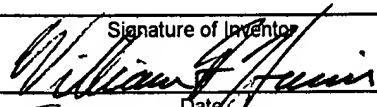
- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Name

Address

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

| | | |
|---|-----------------------|-----------------------|
| William F. Harris | | |
| Name of Inventor | Name of Inventor | Name of Inventor |
|  | Signature of Inventor | Signature of Inventor |
| Date Sept 11 2000 | Date | Date |